Finite Dimensional Nested Lattices based Wyner-Ziv Coding for Two-Way Relay Channel

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Abstract—Two-way relay channel (TWRC) models a cooperative communication situation performing duplex transmission via a relay station. For this channel, we have shown previously that a lattice-based physical layer network coding strategy achieves, at the limit of arbitrarily large dimension, the same rate as that offered by the random coding-based regular compressand-forward. In this paper, we investigate this scheme in finite dimension in order to propose a practical coding scheme that achieves a reasonable performance-complexity trade-off. The algorithm is based on finite dimensional nested lattices for Wyner-Ziv coding. The relay uses the weaker side information available at the receivers to broadcast a quantized version of its output that is destined to be recovered at the two receivers with different distortions. We characterize the rate region allowed by our coding scheme, discuss the design criteria, and illustrate our results with some numerical examples.

I. INTRODUCTION

The two way relaying problem where two communicating nodes want to exchange information via a relay is encountered in various wireless communication scenarios: ad-hoc networks, range extension for cellular and local networks ... While network level routing is the standard option to this problem, it has been shown that network coding (NC) strategies provide better performance by leveraging the side information that is available in each node. In fact, NC allows to improve the rates by combining raw bits or packets at the network layer. The capacity of the system can be further improved when NC is applied to the physical layer. It takes advantage of the linear superposition properties of the wireless channel in order to turn interference nuisance into useful signal [1]. In this context, we consider a physical network coding (PNC) strategy where the overall communication takes two phases, namely a Multiple Access (MAC) phase and a Broadcast (BC)

Various strategies have been proposed for TWRC. Amplify and Forward [2], Decode and Forward (DF) [3], and Compress and Forward. The latter has attracted particular attention since it offers a good compromise between processing complexity at the relay and noise amplification. CF for TWRC [4] follows the same strategy of CF schemes for the relay channel [5]. Performance bounds of this scheme have been investigated in [6], [7], [8]. It has been shown that for specific channel conditions, specially symmetric channels, CF outperforms the other relaying schemes for high SNR regimes. In the aforementioned references, the derivation of the achievable rate regions has employed high dimension assumptions and random coding approach which is impractical for real systems. Interestingly, structured codes have been found to be more advantageous in practical settings thanks to their reduced complexity in encoding and decoding [9]. It has been shown in [10] that for an Additive White Gaussian Noise (AWGN) channel, lattice based codes can achieve the Shannon capacity for Gaussian point-to-point communication. Based on this result, lattice coding and decoding schemes have been extended to TWRC scenario in [11]. In this scheme, the transmitters employ nested lattices as codebooks, and the relay decodes a modulo-lattice sum of the transmitted codewords from the received signal in order to emulate a XOR operation at the packet level. In addition, all the nodes are constrained to transmit with the same power. In [12], this scheme has been extended for different power constraints, however only identical channel coefficients case has been considered. In this paper, we design a new relaying scheme for TWRC based on CF strategy and taking into account non identical transmit power and arbitrary channel coefficients.

In the MAC phase of the proposed scheme, the communicating nodes send simultaneously their messages and the relay receives a mixture of the transmitted signals. The relay considers this mixture as a source which will be compressed and sent during the BC phase. Taking into account that each terminal has a partial knowledge of this source (side information), the BC phase is equivalent to a Wyner-Ziv (WZ) compression setting with two decoders having a piece of side information each. A lattice based lossy compression is employed to help each user generate a local distorted version of the source. The proposed scheme is based on lattice quantization introduced in [13] and which we extend to the TWRC case. In [14], we have showed that this scheme achieves for infinite dimensions the random coding compress and forward strategy. While the original scheme in [13], [14] assumes infinite dimensions hence error free decoding, for the approach here, we derive achievable rate regions with practical finite dimension lattices and non vanishing yet constrained decoding error probabilities. The rest of the paper is organized as follows. In section II, we introduce our system model. In section III and IV, we propose a new lattice-based Wyner-Ziv Coding scheme and we derive its achievable rate region for finite dimensions. In section

V, we present a numerical implementation of the achievable rates with practical finite dimension lattices. Finally, section VI concludes the paper.

Notations Random variables (r.v.) are indicated by capital letters where the realizations are written in small letters. Vector of r.v. or a sequence of realizations are indicated by bold fonts.

II. SYSTEM MODEL

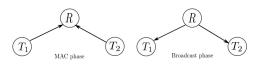


Fig. 1. The two-phase transmission of TWRC

We consider a Gaussian TWRC in which two nodes T_1 and T_2 exchange two individual messages m_1 and m_2 , with the help of a relay R as shown in Fig.1. The relay operates in half-duplex mode. The communication takes n channel uses that are split among MAC phase and BC phase with lengths $n_1 = \alpha n$ and $n_2 = (1-\alpha)n$, $\alpha \in [0,1]$ respectively. During the MAC phase, node T_i , i=1,2 draws uniformly a message m_i from the set $\mathcal{M}_i = \{1,2,\cdots,2^{nR_{i\bar{i}}}\}$ and sends it to the other terminal. Let $\mathbf{x}_i(m_i)$ denotes the codeword of length n_1 sent by node T_i , i=1,2. The messages are transmitted through a memoryless Gaussian channel and the relay R receives a signal $\mathbf{Y_r}$.

During the BC phase, the relay generates a codeword $\mathbf{x}_r(m_r)$ of dimension n_2 from the received sequence \mathbf{y}_r . The signal X_r is transmitted through a broadcast memoryless channel and the received signal at node T_i is \mathbf{Y}_i , i = 1, 2.

All input distributions are real valued: $X_k \sim \mathcal{N}(0, P_k)$, $k = \{1, 2, r\}$, where $\mathcal{N}(0, P_k)$ denotes a zero mean real Gaussian variable with power P_k . The received signals can be modeled as follows:

$$Y_r = h_1 X_1 + h_2 X_2 + Z_r \tag{1}$$

$$Y_i = h_i X_r + Z_i, (2)$$

where h_i denotes the channel coefficient between T_i and R, i=1,2. Without loss of generality, channel reciprocity is assumed, i.e. $h_{i\rightarrow r}=h_{r\rightarrow i}=h_i$. $Z_r\sim\mathcal{N}(0,\sigma_r^2)$ is the additive white Gaussian noise at the relay and $Z_i\sim\mathcal{N}(0,\sigma_i^2)$ is the AWGN at node T_i , i=1,2. We assume perfect CSI for all nodes and the noise components are independent of each other and from the channel inputs. In the sequel, we investigate the achievable rates and the design of our scheme.

III. ACHIEVABLE RATE REGION FOR TWRC

Theorem 3.1: Let (Λ_1, Λ_2) , a pair of two nested lattices of dimension n_1 , with $\Lambda_2 \subset \Lambda_1$. For Gaussian TWRC, the convex hull of the following end-to-end rates (R_{12}, R_{21}) is achievable:

$$R_{12} \le \frac{\alpha}{2} \log_2 \left(1 + \frac{|h_1|^2 P_1(|h_1|^2 P_1 + \sigma_r^2 - D_2)}{\sigma_r^2(|h_1|^2 P_1 + \sigma_r^2 - D_2) + D_2} \right)$$
(3)

$$R_{21} \le \frac{\alpha}{2} \log_2 \left(1 + \frac{|h_2|^2 P_2(|h_1|^2 P_1 + \sigma_r^2 - D_2)}{\sigma_r^2(|h_1|^2 P_1 + \sigma_r^2 - D_2) + D_2} \right) \tag{4}$$

where D_2 satisfies:

$$\alpha(\log_2\left(\frac{\sigma_{U_2}^2}{D_2}\right) + \log_2\left(G(\Lambda_1)\mu(\Lambda_2)\right)) \le (1 - \alpha)\min\left\{\log_2\left(1 + \frac{|h_2|^2P_r}{\sigma_2^2}\right), \log_2\left(1 + \frac{|h_1|^2P_r}{\sigma_1^2}\right)\right\}$$
(5)

with $G(\Lambda_1)$ being the normalized second moment of Λ_1 and $\mu(\Lambda_2)$ being the volume to noise ratio of Λ_2 [15], and $\alpha \in [0,1]$.

Remark 1: Letting $n_1 \to \infty$, the left-hand side expression in (5) reduces to its first term since the second term corresponds to the penalty of using finite dimension, that vanishes asymptotically. We have shown in [14] that the achievable rate region coincides with the random coding compress and forward achievable rate region presented in [8].

IV. PROOF OF THEOREM 3.1

In this section, we present a detailed proof of theorem 3.1. The main idea of the proposed scheme is the following: during the BC phase, the relay station sends a compressed version of the signal received during the MAC phase. The relay employs a lossy compression Wyner-Ziv scheme using nested lattices that is tuned to the side information of the user with the weakest side information. The proof is divided into three paragraphs: in section IV-A, we present the WZ strategy based on the weakest side information at the receivers. In section IV-B, the lattice coding scheme for the WZ model is introduced and finally the achievable rates of the proposed scheme are derived in IV-C.

A. Wyner-Ziv using the weakest side information

Let $\mathbf{S}_i = h_i \mathbf{X}_i$ be the side information available at terminal $T_i, i = 1, 2$. Without loss of generality, we assume that $|h_2|^2 P_2 \leq |h_1|^2 P_1$. With this setting, T_2 is the terminal who experiences the weakest side information. The quantization performed by the relay is tuned so that T_2 reconstructs a local version $\hat{\mathbf{Y}}_{r,i}$ of \mathbf{Y}_r with a distortion D_2 : $\frac{1}{n_1} \mathbb{E} \|\mathbf{Y}_r - \hat{\mathbf{Y}}_{r,2}\|^2 \leq D_2$. The terminal T_1 will undergo this choice on its decoded signal at the end of transmission.

The source \mathbf{Y}_r can be written as the sum of two independent Gaussian r.v.: the side information \mathbf{S}_2 and the unknown part $\mathbf{U}_2 = \mathbf{Y}_r | \mathbf{S}_2 = h_1 \mathbf{X}_1 + \mathbf{Z}_r$ that will be decoded at the end. The variance per dimension of \mathbf{U}_2 is $\sigma_{U_2}^2 = VAR(Y_r|S_2) = |h_1|^2 P_1 + \sigma_r^2$.

B. Lattice based source coding

We use a pair of n_1 -dimensional nested lattices (Λ_1, Λ_2) chosen as in [13]: the fine lattice Λ_1 is good for quantization with basic Voronoi region \mathcal{V}_1 of volume V_1 and second moment per dimension $\sigma^2(\Lambda_1) = D_2$ and the coarse lattice Λ_2 is good for channel coding with basic Voronoi region \mathcal{V}_2 of volume V_2 and second moment $\sigma^2(\Lambda_1) = \sigma_{U_2}^2$.

The encoding operation is performed with four successive operations: first, the input signal \mathbf{Y}_r is scaled with a factor β . Then, a random dither which is uniformly distributed over

 \mathcal{V}_1 is added. This dither is known by all nodes. The dithered scaled version of \mathbf{Y}_r , $\beta \mathbf{y}_r + \mathbf{t}$ is quantized to the nearest point in Λ_1 . The outcome of this operation is processed with a modulo-lattice operation in order to generate a vector \mathbf{v}_r of size n_1 as shown in Fig.2.

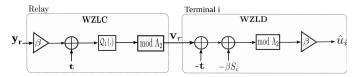


Fig. 2. WZ Lattice Coding and Decoding at T_i , i = 1, 2

$$\mathbf{v}_r = Q_1(\beta \mathbf{y}_r + \mathbf{t}) \mod \Lambda_2 \tag{6}$$

The relay sends the index of \mathbf{v}_r that identifies the coset of Λ_2 relative to Λ_1 that contains $Q_1(\beta \mathbf{y}_r + \mathbf{t})$. The coset leader \mathbf{v}_r is represented with $\frac{V_2}{V_1}$. bits. Thus, the source coding rate of the scheme is

$$R(D_2) = \frac{1}{n_1} \log_2 |\Lambda_1 \cap \mathcal{V}_2| = \frac{1}{n_1} \log_2 \frac{V_2}{V_1}$$
 (bits per dimension)

At both users, \mathbf{v}_r is decoded first. Then $\hat{\mathbf{Y}}_{r,i}|\hat{\mathbf{S}}_i = \hat{\mathbf{U}}_i$ is reconstructed with a WZ lattice decoder (WZLD) using the side information \mathbf{S}_i as

$$\hat{\mathbf{u}}_i = \beta((\mathbf{v}_r - \mathbf{t} - \beta \mathbf{s}_i) \mod \Lambda_2), \ i = 1, 2$$
 (8)

C. Rate analysis

At the relay, the message m_r corresponding to the index of \mathbf{v}_r is mapped to a codeword \mathbf{x}_r of size n_2 . Let R_r be the common broadcast rate. This rate is bounded by

$$n_1 R(D_2) \le n_2 R_r \tag{9}$$

On the other hand,

$$R_r \le \min(I(X_r; Y_1), I(X_r; Y_2))$$
 (10)

Since real Gaussian codebooks are used for all transmissions, we have: $I(X_r;Y_i) = \frac{1}{2}\log_2\left(1+\frac{|h_i|^2P_r}{\sigma_i^2}\right)$, i=1,2. This constraint ensures that the index m_r is transmitted reliably to both terminals and \mathbf{v}_r is available at the input of WZLD at both receivers. At terminal T_2 , $\hat{\mathbf{u}}_2$ in (8) can be written as:

$$\hat{\mathbf{u}}_2 = \beta((\beta \mathbf{u}_2 + \mathbf{e}_q) \bmod \Lambda_2) \tag{11}$$

$$\equiv \beta(\beta \mathbf{u}_2 + \mathbf{e}_q) \tag{12}$$

where $\mathbf{e}_q = Q_1(\beta \mathbf{y}_r + \mathbf{t}) - (\beta \mathbf{y}_r + \mathbf{t}) = -(\beta \mathbf{y}_r + \mathbf{t})$ mod Λ_1 , is the quantization error. By the Crypto Lemma, \mathbf{E}_q is independent from \mathbf{Y}_r , thus \mathbf{U}_2 , and it is uniformly distributed over \mathcal{V}_1 i.e VAR $(E_q) = \sigma^2(\Lambda_1) = D_2$. The equivalence between (11) and (12) is valid only if $\beta \mathbf{u}_2 + \mathbf{e}_q \in \mathcal{V}_2$. With finite dimension lattices, the probability $\Pr(\beta \mathbf{U}_2 + \mathbf{E}_q \notin \mathcal{V}_2)$ can be made arbitrarily small provided that:

$$\frac{1}{n_1} \mathbb{E} \|\mathbf{E}_q + \beta \mathbf{U}_2\|^2 \le \sigma^2(\Lambda_2) \tag{13}$$

The rates are calculated by ensuring that this probability does not exceed a fixed threshold.

$$\Pr(\beta \mathbf{U}_2 + \mathbf{E}_q \notin \mathcal{V}_2) \le P_e \tag{14}$$

Given that $V_1 = \left(\frac{\sigma^2(\Lambda_1)}{G(\Lambda_1)}\right)^{n_1/2}$ where $G(\Lambda_1)$ is the NSM of Λ_1 and $\sigma^2(\Lambda_1) = D_2$, the coding rate in (7) reads:

$$R(D_2) = \frac{1}{2} \log_2 \left(\frac{\sigma_{U_2}^2}{D_2} \right) + \frac{1}{2} \log_2 \left(G(\Lambda_1) \mu(\Lambda_2) \right)$$
 (15)

The WZ rate distorsion function is achieved with a redundancy term $L = \frac{1}{2} \log_2 (G(\Lambda_1)\mu(\Lambda_2))$, where

$$\mu(\Lambda_2) = \frac{V_2^{\frac{2}{n_1}}}{\sigma_{U_2}^2} \tag{16}$$

is the Λ_2 volume to noise ratio (VNR) associated with probability of error P_e . This term has been introduced by Poltyrev in [16] for lattice codes in AWGN setting. For a probability P_e and a lattice Λ with volume V, $\mu(\Lambda) = V^{\frac{2}{n_1}}/\sigma^2$, σ^2 is the variance of a Gaussian noise \mathbf{Z} which verifies $\Pr(\mathbf{Z} \notin \mathcal{V}) \leq P_e$. By analogy to our problem, taking into account the constraints expressed in (13) and (14), the VNR is given by (16). Finally, (5) is obtained by combining equations (9), (10) and (15).

The parameter β has to be chosen so that to verify (13) and (17).

$$\frac{1}{n_1} \mathbb{E} \|\mathbf{Y}_r - \hat{\mathbf{Y}}_{r,2}\|^2 = (1 - \beta^2)^2 \sigma_{U_2}^2 + \beta^2 D_2 \le D_2 \quad (17)$$

Taking into account that

$$\frac{1}{n_1} \mathbb{E}||\mathbf{E}_q + \beta \mathbf{U}_2||^2 = \frac{1}{n_1} \mathbb{E}||\mathbf{E}_q||^2 + \frac{1}{n_1} \mathbb{E}||\beta \mathbf{U}_2||^2$$
$$= D_2 + \beta^2 \sigma_{U_2}^2$$

The optimal scaling factor β is $\beta = \sqrt{1 - \frac{D_2}{\sigma_{U_2}^2}}$ (see [13]). By replacing \mathbf{U}_2 by its value we conclude that:

$$\hat{\mathbf{U}}_2 = \beta^2 h_1 \mathbf{X}_1 + \beta^2 \mathbf{Z}_r + \beta \mathbf{E}_q \tag{18}$$

Let $\mathbf{Z}_{eq} = \beta^2 \mathbf{Z}_r + \beta \mathbf{E}_q$ be the effective additive noise. The communication between T_1 and T_2 is equivalent to a virtual additive Gaussian channel where the noise is given by \mathbf{Z}_{eq} . We approximate \mathbf{E}_q by a Gaussian variable \mathbf{Z}_q with same variance. The equivalence is valid for high resolution assumption as $D_2 \to 0$. Based on the results in [17], we can show that

$$\mathcal{D}(\hat{U}_2, \beta^2 U_2 + \beta Z_q) = h(\beta^2 U_2 + \beta Z_q) - h(\hat{U}_2) \underset{D_2 \to 0}{\longrightarrow} 0$$

where $\mathcal{D}(.,.)$ is the relative entropy. Thus the achievable rate of this link satisfies:

$$nR_{12} \le \frac{n_1}{2} \log_2 \left(1 + \frac{\beta^2 |h_1|^2 P_1}{\beta^2 \sigma_r^2 + D_2} \right)$$

by replacing $\frac{n_1}{n} = \alpha$ and β by its value, (3) is verified. At terminal T_1 , the decoder is tailored to the side information

 \mathbf{S}_1 . Thus, at the decoder we subtract $\beta \mathbf{s}_1$ and $\hat{\mathbf{u}}_1$ is reconstructed similarly to $\hat{\mathbf{u}}_2$ in (11). Since $\sigma_{S_1}^2 \geq \sigma_{S_2}^2$, we have

$$\sigma_{U_1}^2 \le \sigma_{U_2}^2,$$

$$\Pr(\beta \mathbf{U}_1 + \mathbf{E}_q \notin \mathcal{V}_2) \le \Pr(\beta \mathbf{U}_2 + \mathbf{E}_q \notin \mathcal{V}_2),$$

$$D_1 = \frac{1}{n_1} \mathbb{E} \|\mathbf{Y}_r - \hat{\mathbf{Y}}_{r,1}\|^2 \le D_2$$

The communication between T_2 and T_1 is equivalent to a virtual Gaussian channel with an additive noise \mathbf{Z}_{eq} and a rate:

 $nR_{21} \le \frac{n_1}{2} \log_2 \left(1 + \frac{\beta^2 |h_2|^2 P_2}{\beta^2 \sigma_r^2 + D_2} \right)$

which verifies (4) and concludes the proof. The whole coding scheme is summarized in Fig.3.

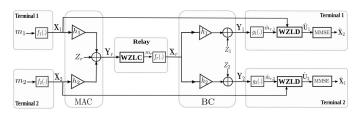


Fig. 3. Wyner-Ziv lattice-based coding scheme for TWRC

Remark 2: It is possible to use S_1 as the side information for the WZ lattice coding scheme to achieve a controlled distortion D_1 at terminal T_1 . For this purpose, we need two coding layers: a common layer to be sent to both nodes and a refinement layer to be decoded only by the best node T_1 . In this case, the achievable rates can be ameliorated. This study is under investigation.

V. NUMERICAL IMPLEMENTATION

In this section, we present the achievable rates for practical finite dimensional lattices. In this case, a rate loss is incurred in the coding rate comparing to the WZ rate distortion function as described in previous sections (III and IV). The achievable rate region is calculated by ensuring that the error probability $\Pr(\beta \mathbf{U}_2 + \mathbf{E}_q \notin \mathcal{V}_2) \leq P_e$. The analytical derivation of the error probability for practical lattice pairs is difficult in general since it requires the integration over the Voronoi region of the coarse lattice. Though it can be computed numerically using Monte Carlo integration or approximated by an upper bound. An approximation of the error probability can be obtained using union bound and Chernoff bound:

$$\Pr(\beta \mathbf{U}_2 + \mathbf{E}_q \notin \mathcal{V}_2) \approx K(\Lambda_2) \exp\left(-\frac{1}{8}\gamma_c(\Lambda_2)\mu(\Lambda_2, P_e)\right)$$
(19)

for sufficiently large $\mu(\Lambda_2,P_e)$ and $\gamma_c(\Lambda_2)=\frac{d_{min}^2(\Lambda_2)}{V(\Lambda_2)^{2/n_1}}$ is the coding gain of Λ_2 with $d_{min}(\Lambda_2)$ is the minimum distance between two points in Λ_2 . We choose the VNR in (15) as follows

$$\mu(\Lambda_2, P_e) \approx \frac{8}{\gamma_c(\Lambda_2)} \log_2\left(\frac{K(\Lambda_2)}{P_e}\right)$$
 (20)

TABLE I
SOME IMPORTANT BINARY LATTICES AND THEIR USEFUL PROPERTIES

Lattice A	dimension n_1	$G(\Lambda)$	$\gamma_c(\Lambda)$	$K(\Lambda)$
\mathbb{Z}^k	k	0.0833	1	2k
D_4	4	0.07660	$\sqrt{2}$	24
E_8	8	0.071682	2	240
Λ_{16}	16	0.06829	$2^{3/2}$	4320
Λ_{24}	24	0.00657	4	196560

Note that $\mu(\Lambda_2, P_e) \gg \frac{1}{\gamma_c(\Lambda_2)}$ for small error probability. This guarantees that the union bound approximation is valid and the error probability is upper bounded by P_e .

Furthermore, given that the error probability of the scheme is defined by the goodness of the coarse lattice, the performance of the end to end scheme depends more on this lattice rather than the choice of the fine lattice. Therefore, the simple cubic lattice \mathbb{Z}^{n_1} with normalized second moment (NSM) $G(\Lambda_1) =$ $\frac{1}{12}$ will be the preferred choice for the fine lattice. In this case, for a good coarse lattice with NSM $G(\Lambda_2) = \frac{1}{2\pi e}$, the rate loss with respect to the ideal WZ scheme is only $\frac{1}{2}\log_2(2\pi e/12) =$ 0.2546 bit per sample. Moreover, in the quantization problem, the choice of the fine (resp. coarse) lattice is equivalent to the choice of the coarse (resp. fine) lattice for the dual channel coding problem. It has been shown in [18] that practically \mathbb{Z}^{n_1} suffices as a shaping lattice that verifies arbitrary small error probability. Thus, a sublattice of \mathbb{Z}^{n_1} can be a simple engineering choice for the fine lattice. In the sequel, Λ_1 is a scaled version of \mathbb{Z}_1^n i.e. $\Lambda_1 = \eta \mathbb{Z}^{n_1}$.

Table I gives the kissing number and the coding gain for a set of commonly used finite lattices, that can be used to calculate $\mu(\Lambda_2, P_e)$ for fixed P_e . Comparison between lattice pairs can be found in Fig. 4 for symmetric channels, equal SNRs and $P_e=10^{-5}$. We present the maximum achievable rates with optimized time division between MAC and BC phases and optimal distortion choice at the relay. We notice that the difference between the infinite scheme and the pair $(\mathbb{Z}^4, \Lambda_{24})$ is about 0.15 bit/channel use which is significantly small.

VI. CONCLUSION

In this paper, we derived a new achievable rate region for TWRC with finite dimension. We proposed for this purpose a new practical lattice-based physical layer network coding scheme. The scheme is based on Wyner-Ziv source coding strategy and nested lattice codes. We presented a numerical implementation of the achievable rates with practical finite dimension lattices.

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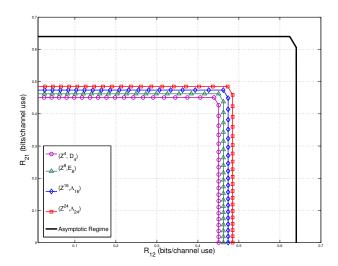


Fig. 4. Achievable rate region of different finite dimension lattice pairs compared to the high dimension achievable region for $SNR_1 = SNR_2 = SNR_\tau = 10$ dB. A difference of 0.15 bit/dimension between infinite and finite dimension lattices

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